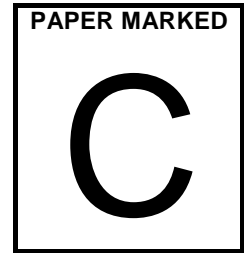


LEICESTERSHIRE POLICE AUTHORITY



Meeting **AUDIT & RISK COMMITTEE**

Date **WEDNESDAY 6 APRIL 2011 – 1.00 P.M.**

Report of **CHIEF CONSTABLE**

Subject **FORCE RISK REGISTER**

Summary

1. This report summarises the work of the Strategic Organisational Risk Board (S.O.R.B.) and the movement of the top strategic risks, since the previous Audit Committee meeting on 2ND December 2010.

Recommendation

2. Members are recommended to note the content of the risk register and the new impact and likelihood descriptions attached.

Background

3. S.O.R.B. The S.O.R.B. met on 1st April 2011, chaired by DCC David Evans. The Police Authority was represented at the board by Mr David Prince.
4. The board examined the full strategic risk register. One new strategic risk had been identified since the last meeting of the S.O.R.B.

- STR868 – 30/03/11 Potential Financial Issues for Employees of the Force

Board members discussed this risk and accepted it as a strategic risk.

Five strategic risks were approved for removal from the register:

- Police officers are now aligned to the new structure and governance arrangements are in place via the Establishment Board.
 - The risk of failing to identify savings has been averted by realising £14.5million.
 - The Force now has sufficient resilience in accredited APOC Gold public order commanders.
 - The Board is satisfied that Command resilience has been maintained in the new establishment.
 - The new Force structure has not diminished the ability to meet extraordinary demand in respect of serious crime and incidents.
5. The Board considered all strategic risks currently registered. Two risks were considered to have heightened since the last Board:
 - STR564 relates to failure to comply with Missing From Home Policy. This risk was discussed and the agreement was that the risk was more specifically around the management of missing persons and that this was a high risk.

- STR509 relates to the threat to the performance of the Force while so many people are required to be trained on new IT systems. This is also considered to be a high risk.

The tables at Appendix 1 detail the current top risks and also the additional new risks identified since the last Audit Committee Meeting.

Implications

Financial: none. Legal: none. Diversity: none. Risk Management: within the report.

Background Papers

None

Officers to Contact

DCC D Evans, Tel 0116 248 2002

Inspector G Lewis, Corporate Development, Tel 0116 248 2245



RISK SCORING MATRIX

The tables below show how each risk should be analysed to determine its potential impact and its probability.

	Score	Performance/ Service Delivery	Finance /Efficiency £	Confidence/ Reputation	Health & Safety	Environment	Strategic Direction
Very High	4	Major disruption to service delivery Major impact on performance indicators noticeable by stakeholders	Force >1,000,000 Business Area >150,000	Major stakeholder/investigations /longer lasting community concerns Major reputational damage adverse national media coverage > 7 days	Death or a life changing injury	Very high negative environmental impact (high amount of natural resources used, pollution produced, biodiversity affected)	Major impact on the ability to fulfil strategic objective
High	3	Serious disruption to service delivery Serious impact on performance indicators noticeable by stakeholders	Force 251,000-1,000,000 Business Area 41,000-150,000	Serious stakeholder/investigations /prolonged specific section of community concerns Serious reputational damage adverse national media coverage < 7 days	An injury requiring over 24-hours hospitalisation and /or more than 3 days off work or a major injury as defined by the RIDDOR Regs	High negative environmental impact (medium amount of natural resources used, pollution produced, biodiversity affected)	Serious impact on the ability to fulfil strategic objective
Medium	2	Significant disruption to service delivery Noticeable impact on performance indicators	Force 51,000-250,000 Business Area 11,000-40,000	Significant investigations/specific section of community concerns Significant reputational damage adverse local media coverage	An injury requiring hospital/professional medical attention and/or between one day and three days off work with full recovery	Medium negative environmental impact (low amount of natural resources used, pollution produced, biodiversity affected)	Significant impact on the ability to fulfil strategic objective
Low	1	Minor disruption to service delivery Minor impact on performance indicators	Force <50,000 Business Area <10,000	Complaints from individuals Minor impact on a specific section of the community	An injury involving no treatment or minor first aid with no time off work	Low negative environmental impact (limited amount of natural resources used, pollution produced, biodiversity affected)	Minor impact on the ability to fulfil strategic objective

Probability		
	Score	Description
Very High	4	>75% chance of occurrence. Almost certain to occur.
High	3	51-75% chance of occurrence. More likely to occur than not.
Medium	2	25-50% chance of occurrence. Fairly likely to occur.
Low	1	<25% chance of occurrence. Unlikely to occur.

The corporate risk score = impact score x probability score

Top Strategic Risks

Reference	Responsible Officer	Title	Impact	Likelihood	Score
STR310	Steph Morgan	Risk of damage to the Force reputation is increased by reported failings	High	High	↔ 9
STR479	Jason Masters	Management of duties in between Steria go live and DMS go live	High	High	↔ 9
STR533	Geoff Feavours	Reputation damage from disproportionate stop and search ethnicity figures	High	High	↔ 9
STR564	Paul Telford	Failure to manage incidents of persons missing from home	High	High	↑ 9
STR509	Jay Ball	Performance risk arising from training staff in new IT systems	High	High	↑ 9
STR430	Geoff Feavours	Reputational risk from the inquiry into disability related harassment	High	High	↔ 9
STR286	Geoff Feavours	Contest Strategy – the risk to the Force from terrorism	Very High	Medium	↔ 8
STR94	Rachel Swann	2012 Olympic Games	Medium	High	↑ 6
STR2	Tim Glover	Impact of Loss of I.T. and/or Communications Infrastructure	High	Medium	↔ 6
STR414	Phil Whiteley	CJ Case & Custody replacement default expense	High	Medium	↓ 6

Additional Strategic Risks identified since last Audit Committee

Reference	Responsible Officer	Title	Impact	Likelihood	Score
STR868	Chris Rollings	Potential Financial Issues for Employees of the Force	Medium	Medium	4