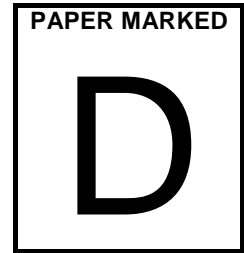


LEICESTERSHIRE POLICE AUTHORITY



Meeting **AUDIT & RISK COMMITTEE**

Date **THURSDAY 02 DECEMBER 2010 – 2.00 P.M.**

Report of **CHIEF CONSTABLE**

Subject **FORCE RISK REGISTER**

Summary

1. This report summarises the work of the Strategic Organisational Risk Board (S.O.R.B.) and the movement of the top strategic risks, since the previous Audit Committee meeting on 7th September 2010.

Recommendation

2. Members are recommended to note the content of the risk register and the new impact and likelihood descriptions attached.

Background

3. S.O.R.B. The S.O.R.B. met on 7th October 2010, chaired by Mr Paul Dawkins, DCC Mr Fraser being committed with the then imminent EDL/UAF protest. The Police Authority was represented at the board by Mr David Prince and Councillor John Bill.
4. The board examined the full strategic risk register. Two new strategic risks had been identified since the last meeting of the S.O.R.B.
 - STR576 – 09/10/10 Protest (Op Pennant)
 - STR595 - Change to funding arrangements at EMA

Board members discussed these risks and accepted them as strategic risks. Following the protest on 09/10/10, the Op Pennant risk was downgraded to a City BCU risk and the successful community engagement employed has seen this risk subsequently archived.

One strategic risk was approved for removal from the register, the risk caused by an identified resource gap in information security staff that has since been filled.

The tables at Appendix 1 detail the current top risks and also the additional new risks identified since the last Audit Committee Meeting, including recently identified risks not yet ratified by S.O.R.B.

5. The board was updated on the progress of delivering an updated 'Orchid Risk Register' system which will be hosted by Leicestershire for Nottinghamshire, Lincolnshire and Derbyshire to use. This will allow each Force and Authority to hold its own separate and private register as we currently experience, but with the addition of a collaborative register for regional level risk. Subject to final security penetration tests this should go live in early 2011. The board also ratified a recommendation on behalf of the DCC and the Risk Manager to amend the force risk strategy, introducing additional detail to the

understanding of impact and likelihood. The new descriptors are detailed in the attached table, appendix 2. The Risk Manager Insp Fletcher had previously met with regional colleagues across the five East Midlands Forces in order to identify and agree these descriptors, now being adopted across the region. These will feature in the new Risk Register product.

6. The board received a report on the scanning function the force undergoes to identify new issues. Mr Dawkins has taken an action from the board to explore a regional approach and opportunity to collaborate, as the issues are often regional or even national.
7. The board scrutinised the following risks with presentations on them by the named individuals below;

Resilience of IT infrastructure (3 risks referred)	Mr Tim Glover
Managing Industrial relations (2 risks referred)	Ali Naylor/ C Supt Garnham

In each case the board were satisfied that the risks were being appropriately managed, with actions generated to further examine different issues within those risks.

Mr Glover will provide the board with a list of critical systems for discussion. Actions were generated in relation to the industrial relations risk over internal business continuity, staff skills database and any identified vulnerabilities.

Implications

Financial: none. Legal: none. Diversity: none. Risk Management: within the report.

Background Papers

None

Officers to Contact

T/DCC Mr G Fraser, Tel 0116 248 2002

Inspector M Fletcher, Corporate Development, Tel 0116 248 6929



RISK SCORING MATRIX

The tables below show how each risk should be analysed to determine its potential impact and its probability.

	Score	Performance/ Service Delivery	Finance /Efficiency £	Confidence/ Reputation	Health & Safety	Environment	Strategic Direction
Very High	4	Major disruption to service delivery Major impact on performance indicators noticeable by stakeholders	Force >1,000,000 Business Area >150,000	Major stakeholder/investigations /longer lasting community concerns Major reputational damage adverse national media coverage > 7 days	Death or a life changing injury	Very high negative environmental impact (high amount of natural resources used, pollution produced, biodiversity affected)	Major impact on the ability to fulfil strategic objective
High	3	Serious disruption to service delivery Serious impact on performance indicators noticeable by stakeholders	Force 251,000-1,000,000 Business Area 41,000-150,000	Serious stakeholder/investigations /prolonged specific section of community concerns Serious reputational damage adverse national media coverage < 7 days	An injury requiring over 24-hours hospitalisation and /or more than 3 days off work or a major injury as defined by the RIDDOR Regs	High negative environmental impact (medium amount of natural resources used, pollution produced, biodiversity affected)	Serious impact on the ability to fulfil strategic objective
Medium	2	Significant disruption to service delivery Noticeable impact on performance indicators	Force 51,000-250,000 Business Area 11,000-40,000	Significant investigations/specific section of community concerns Significant reputational damage adverse local media coverage	An injury requiring hospital/professional medical attention and/or between one day and three days off work with full recovery	Medium negative environmental impact (low amount of natural resources used, pollution produced, biodiversity affected)	Significant impact on the ability to fulfil strategic objective
Low	1	Minor disruption to service delivery Minor impact on performance indicators	Force <50,000 Business Area <10,000	Complaints from individuals Minor impact on a specific section of the community	An injury involving no treatment or minor first aid with no time off work	Low negative environmental impact (limited amount of natural resources used, pollution produced, biodiversity affected)	Minor impact on the ability to fulfil strategic objective

Probability		
	Score	Description
Very High	4	>75% chance of occurrence. Almost certain to occur.
High	3	51-75% chance of occurrence. More likely to occur than not.
Medium	2	25-50% chance of occurrence. Fairly likely to occur.
Low	1	<25% chance of occurrence. Unlikely to occur.

The corporate risk score = impact score x probability score

Top Strategic Risks

Ref	Responsible Officer	Title	Impact	Likelihood	Score
STR479	Jason Masters	Management of duties in between Steria go live and DMS go live	Major	High	↔ 9
STR509	Chris Rollings	Performance risk arising from training staff in new IT systems	Major	High	↔ 9
STR533	Geoff Feavvour	Reputation damage from disproportionate stop and search ethnicity figures	Major	High	↔ 9
STR430	Geoff Feavvour	Reputational risk from the inquiry into disability related harassment	Major	High	↔ 9
STR310	Gordon Fraser	Risk of damage to the Force reputation is increased by reported failings	Major	High	↔ 9
STR9	Chris Haward	The risk to the organisation from the need to ID savings of £15 million.	Major	High	↓ 9
STR286	Julia Mckechnie	Contest Strategy – the risk to the Force from terrorism	Disaster	Medium	↔ 8
STR459	Geoff Feavvour	Reputational risk from failure to respond to ASB	Major	Medium	↓ 6
STR414	Michael Gamble	CJ Case & Custody Replacement default expense.	Major	Medium	↔ 6
STR564	Paul Telford	Failure to comply with Force Missing From Home Policy	Major	Medium	↔ 6

Additional Strategic Risks identified since last Audit Committee

Ref	Responsible Officer	Title	Impact	Likelihood	Score
STR613	Alison Naylor	Key people may leave the Force to protect their pensions	Noticeable	Medium	4
STR595	Steph Morgan	Risk associated with funding realignment at EMA	Noticeable	Medium	4
STR612	Alison Naylor	Changes to Pensions - 2012	Minimal	Medium	2