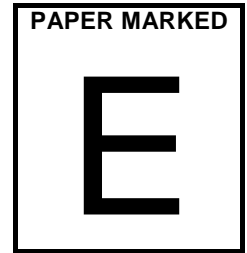


# LEICESTERSHIRE POLICE AUTHORITY



Meeting           **AUDIT & RISK COMMITTEE**

Date               **THURSDAY 21 JUNE 2011 – 1:00 P.M.**

Report of         **CHIEF CONSTABLE**

Subject           **FORCE RISK REGISTER**

## **Summary**

1. This report summarises the strategic risks that have been registered since the previous Audit Committee meeting on 6<sup>th</sup> April 2011.

## **Recommendation**

2. Members are recommended to note the content of the report.

## **Background**

3. S.O.R.B. The S.O.R.B. met on 1<sup>st</sup> April 2011, chaired by DCC David Evans. The Police Authority was represented at the board by Mr David Prince. The S.O.R.B. is due to meet again at 9:30am Thursday 21<sup>st</sup> June 2011
4. The decisions of the Board taken on 1<sup>st</sup> April were reported at the Audit & Risk Committee meeting of 6<sup>th</sup> April 2011.
5. At the meeting of 21<sup>st</sup> June S.O.R.B. will consider four new risks that have been registered since the last meeting:
  - STR921 that the organisational change process may not be sustainable or fit for purpose.
  - STR893 the risk from the impact of the Hutton and Winsor reforms.
  - STR891 the risk posed by failing to match officers to the new establishment.
  - STR868 potential financial issues for employees.

### **STR921**

This risk is concerned with the potential reduction in the quality of service to the public and/or inability to make the necessary financial savings following the Comprehensive Spending Review, should a clear, sustainable and cross-functional change process not be properly developed and implemented. The initial score is **9** reflecting a high impact and high probability.

### **STR893**

This is the risk associated with the impact of the Hutton and Winsor reforms. There is a risk of an increase in welfare issues for officers and staff as a result of increased financial pressures. There is the potential for increased indebtedness and associated integrity risks. The financial impact of the proposals on the Force budget is unknown. The initial score is **4** reflecting medium impact and medium probability.

### STR891

This is the risk to the organisational performance and efficiency caused by a failure to align current establishment to new structure and roles. The initial score is **4** reflecting medium impact and medium probability.

### STR868

This risk recognises the potential financial hardship faced by employees of the Force in light of recent reviews on pay and conditions and the general economic climate and as a result possibly becoming involved in corruption. The initial score is **4** reflecting medium impact and medium probability.

### **Implications**

Financial: none. Legal: none. Diversity: none.

### **Background Papers**

None

### **Officers to Contact**

DCC D Evans, Tel 0116 248 2002

Inspector G Lewis, Corporate Development, Tel 0116 248 2245



## RISK SCORING MATRIX

The tables below show how each risk should be analysed to determine its potential impact and its probability.

	Score	Performance/ Service Delivery	Finance /Efficiency £	Confidence/ Reputation	Health & Safety	Environment	Strategic Direction
<b>Very High</b>	<b>4</b>	Major disruption to service delivery  Major impact on performance indicators noticeable by stakeholders	Force >1,000,000  Business Area >150,000	Major stakeholder/investigations /longer lasting community concerns  Major reputational damage adverse national media coverage > 7 days	Death or a life changing injury	Very high negative environmental impact (high amount of natural resources used, pollution produced, biodiversity affected)	Major impact on the ability to fulfil strategic objective
<b>High</b>	<b>3</b>	Serious disruption to service delivery  Serious impact on performance indicators noticeable by stakeholders	Force 251,000-1,000,000  Business Area 41,000-150,000	Serious stakeholder/investigations /prolonged specific section of community concerns  Serious reputational damage adverse national media coverage < 7 days	An injury requiring over 24-hours hospitalisation and /or more than 3 days off work or a major injury as defined by the RIDDOR Regs	High negative environmental impact (medium amount of natural resources used, pollution produced, biodiversity affected)	Serious impact on the ability to fulfil strategic objective
<b>Medium</b>	<b>2</b>	Significant disruption to service delivery  Noticeable impact on performance indicators	Force 51,000-250,000  Business Area 11,000-40,000	Significant investigations/specific section of community concerns  Significant reputational damage adverse local media coverage	An injury requiring hospital/professional medical attention and/or between one day and three days off work with full recovery	Medium negative environmental impact (low amount of natural resources used, pollution produced, biodiversity affected)	Significant impact on the ability to fulfil strategic objective

<b>LOW</b>	<b>1</b>	Minor disruption to service delivery	Force <50,000	Complaints from individuals	An injury involving no treatment or minor first aid with no time off work	Low negative environmental impact (limited amount of natural resources used, pollution produced, biodiversity affected)	Minor impact on the ability to fulfil strategic objective
		Minor impact on performance indicators	Business Area <10,000	Minor impact on a specific section of the community			

Probability		
	Score	Description
<b>Very High</b>	4	>75% chance of occurrence. Almost certain to occur.
<b>High</b>	3	51-75% chance of occurrence. More likely to occur than not.
<b>Medium</b>	2	25-50% chance of occurrence. Fairly likely to occur.
<b>Low</b>	1	<25% chance of occurrence. Unlikely to occur.

The corporate risk score = impact score x probability score