

LEICESTERSHIRE POLICE AUTHORITY



Meeting **AUDIT COMMITTEE**

Date **WEDNESDAY 15 APRIL 2009 –2.00 P.M.**

Report of **TREASURER / CHIEF EXECUTIVE**

Subject **POLICE AUTHORITY RISK REGISTER**

Purpose of Report

1. To present a draft re-working of the Police Authority specific Risk Register for the Committee's consideration and allocation of risk scores.

Background

2. On 17 April 2008 the first Police Authority specific Risk Register was adopted by the Committee. The owners of the risks are the Chief Executive and Treasurer, who monitor the Register each month. An updated version is then presented for scrutiny at each meeting of this Committee. Members are able to view the Register and its associated documentation by logging onto the computer in the Members' Room.
3. At the last meeting of the Committee it was agreed to re-work the existing risks by combining them into appropriate categories. The categories are as follows:
 - Stakeholders / Reputation
 - o Partnerships / Public Confidence
 - o Reputation
 - Governance
 - o Governance
 - o Inspection
 - Operational / Performance
 - o Capability
 - o Planning / Performance
 - Finance
 - o Funding

Update

4. The re-worked risks (nine in total) are detailed in Appendix 2.
5. Each of these nine risks is now to be scored by the Committee, a process that will be facilitated by RSM Bentley Jennison during the meeting.
6. Following that scoring process the risks will form the Police Authority Risk Register, loaded into the Orchid software, and become the base for subsequent reviews.

7. A reminder of the risk score descriptions and impacts appears at Appendix 1.

Recommendation

8. Members are recommended to scrutinise and agree this version of the Police Authority Risk Register, add the risk scores, and note that it will be reviewed for each meeting of the Committee.

Implications

Financial: none. Legal: none. Diversity: none. Risk Management: within the report.

Background Papers

None

Officers to Contact

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| Risk Score Allocation | |
|--|---|
| IMPACT: Minimal (1) | Minor service delivery disruption / Adverse public comment / No injury / Low financial cost |
| Noticeable (2) | Some service disruption / Reduced public confidence / Minor injury / Unplanned financial cost |
| Major (3) | Significant service disruption / Serious public criticism / Serious injury / Large financial cost |
| Disaster (4) | Significant service failure / Total loss of public confidence / Fatality / Major financial crisis |
| LIKELIHOOD: Low (1) Medium (2) High (3) Very High (4) | |

| | LOW | MEDIUM | HIGH | VERY HIGH |
|------------|-----|--------|------|-----------|
| MINIMAL | 1 | 2 | 3 | 4 |
| NOTICEABLE | 2 | 4 | 6 | 8 |
| MAJOR | 3 | 6 | 9 | 12 |
| DISASTER | 4 | 8 | 12 | 16 |